

FORM PTO-1390 (Modified) (REV. 11-2000) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER <b>1505/US/4</b>
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR <b>10/501 179</b>
INTERNATIONAL APPLICATION NO. <b>PCT/US2003/008491</b>	INTERNATIONAL FILING DATE <b>17 March 2003 (17.03.03)</b>	PRIORITY DATE CLAIMED <b>15 March 2002 (15.03.02)</b>
TITLE OF INVENTION <b>CONTAINER HAVING A RIM OR OTHER FEATURE ENCAPSULATED BY OR FORMED FROM INJECTION-MOLDED MATERIAL</b>		
APPLICANT(S) FOR DO/EO/US <b>Scott William Middleton, Mark Richard Sinclair, Brian Robert O'Hagan, Patrick Henry Wnek, Terrence Philip Lafferty, Peter Walrave Blaas, Kevin James Hjort, and Patrick James Smith</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.</li> <li><input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2))             <ol style="list-style-type: none"> <li><input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li><input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li><input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).             <ol style="list-style-type: none"> <li><input type="checkbox"/> is attached hereto.</li> <li><input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li><input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))             <ol style="list-style-type: none"> <li><input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li><input type="checkbox"/> have been communicated by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li><input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li><input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> <li><input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</li> <li><input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</li> </ol>		
<p><b>Items 13 to 20 below concern document(s) or information included:</b></p> <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li><input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li><input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li><input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</li> <li><input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li><input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li><input checked="" type="checkbox"/> Express Mail Label No. <u>EV 423 775 036 US</u></li> <li><input checked="" type="checkbox"/> Other items or information:</li> </ol> <p>Information Disclosure Statement by Applicant form PTO-1449, photocopy of Inter. Appln. No. PCT/US2003/008491 as published on 25 September 2003 (WO 03/078012 A1), and PCT Notification of Recording of a Change RE Change of Applicant's Name</p>		

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR <b>10/501 179</b>	INTERNATIONAL APPLICATION NO. PCT/US2003/ 08491	ATTORNEY'S DOCKET NUMBER 1505/US/4																				
24. The following fees are submitted:		<b>CALCULATIONS PTO USE ONLY</b>																				
<b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :</b>																						
<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1080.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$920.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$770.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$730.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00																						
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>		<b>\$100.00</b>																				
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)).		□ 20    □ 30 <b>\$0.00</b>																				
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 25%;">CLAIMS</th> <th style="width: 25%;">NUMBER FILED</th> <th style="width: 25%;">NUMBER EXTRA</th> <th style="width: 25%;">RATE</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>69 - 20 =</td> <td>49</td> <td>x \$18.00    <b>\$882.00</b></td> </tr> <tr> <td>Independent claims</td> <td>8 - 3 =</td> <td>5</td> <td>x \$86.00    <b>\$430.00</b></td> </tr> <tr> <td colspan="3">Multiple Dependent Claims (check if applicable).</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td colspan="3" style="text-align: center;"><b>TOTAL OF ABOVE CALCULATIONS</b></td> <td style="text-align: center;"><b>\$1,412.00</b></td> </tr> </tbody> </table>		CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	69 - 20 =	49	x \$18.00 <b>\$882.00</b>	Independent claims	8 - 3 =	5	x \$86.00 <b>\$430.00</b>	Multiple Dependent Claims (check if applicable).			<input type="checkbox"/>	<b>TOTAL OF ABOVE CALCULATIONS</b>			<b>\$1,412.00</b>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																			
Total claims	69 - 20 =	49	x \$18.00 <b>\$882.00</b>																			
Independent claims	8 - 3 =	5	x \$86.00 <b>\$430.00</b>																			
Multiple Dependent Claims (check if applicable).			<input type="checkbox"/>																			
<b>TOTAL OF ABOVE CALCULATIONS</b>			<b>\$1,412.00</b>																			
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		<b>\$0.00</b>																				
<b>SUBTOTAL</b>		<b>\$1,412.00</b>																				
Processing fee of <b>\$130.00</b> for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)).		□ 20    □ 30    + <b>\$0.00</b>																				
<b>TOTAL NATIONAL FEE</b>		<b>\$1,412.00</b>																				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).		<input type="checkbox"/>																				
<b>TOTAL FEES ENCLOSED</b>		<b>\$1,412.00</b>																				
		Amount to be: refunded    \$  charged    \$																				
a. <input checked="" type="checkbox"/> A check in the amount of <u><b>\$1,412.00</b></u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u><b>04-1415</b></u> d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.																						
<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.																						
<b>SEND ALL CORRESPONDENCE TO:</b> <div style="border: 1px solid black; padding: 5px;">         Gary M. Polumbus, Reg. No. 25,364          DORSEY &amp; WHITNEY LLP          370 Seventeenth Street, Suite 4700          Denver, Colorado 80202-5647          Tel.: (303) 629-3400          Fax: (303) 629-3450       </div>																						
 SIGNATURE <hr/> Gary M. Polumbus NAME <hr/> 25,364 REGISTRATION NUMBER <hr/> July 9, 2004 DATE																						
USPTO Customer No.: 20686  cc: Graphic Packaging International, Inc. and IP Docketing-DV																						

10/501 179

DT15 [REDACTED] PCT/PTO 09 JUL 2004

Attorney Docket No. 1505/US/4  
Express Mail Label No. EV 423 775 036 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Scott William Middleton, Mark Richard Sinclair,  
Brian Robert O'Hagan, Patrick Henry Wnek,  
Terrence Philip Lafferty, Peter Walrave Blaas,  
Kevin James Hjort, and Patrick James Smith

Appln. No.: Not Yet Assigned

Filed: Herewith

For: CONTAINER HAVING A RIM OR  
OTHER FEATURE ENCAPSULATED  
BY OR FORMED FROM INJECTION-  
MOLDED MATERIAL

Confirmation No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

EV423775036US

CERTIFICATE OF MAILING BY EXPRESS MAIL

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned hereby certifies that the following documents:

1. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (2 pages);
2. Executed Declaration for Patent Application (4 pages);
3. PCT Notification of Transmittal of the International Search Report or the Declaration and PCT International Search Report (5 pages);
4. International Publication No. WO 03/078012 A1, with International Search Report ;
5. PCT Notification of Transmittal of International Preliminary Examination Report (4 pages);
6. PCT Notification of the Recording of a Change Re: Change of Applicant's Name (1 page);
7. Information Disclosure Statement (2 pages);
8. Information Disclosure Statement (Form PTO-1449) (1 page);
9. Check for \$1,412.00;
10. Certificate of Mailing by Express Mail; and
11. Return Card,

10/501179

DT Rec'd PCT/PTO 09 JUL 2004

2

relating to the above application, were deposited as "Express Mail," Mailing Label No. EV  
423 775 036 US with the United States Postal Service, addressed to Mail Stop PCT,  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 9 day of  
July 2004.

Jane Lelis

Mailer

Jane Lelis

Signature of Mailer

DORSEY & WHITNEY LLP  
USPTO Customer No. 20686